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CHINA COAL ENERGY COMPANY LIMITED*

中國中煤能源股份有限公司

(A joint stock company incorporated in the People's Republic of China with limited liability)

(Stock Code: 01898)

THIRD QUARTERLY REPORT 2022

Pursuant to the regulations and rules of China Securities Regulatory Commission and Shanghai Stock Exchange (the "SSE"), China Coal Energy Company Limited (the "Company" or "China Coal Energy", together with its subsidiaries, collectively the "Group") is required to publish reports on a quarterly basis.

This announcement is made pursuant to Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) and Rule 13.09 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

This announcement is a summary of the 2022 third quarterly financial report of the Company for the period ended 30 September 2022. The full text of the quarterly financial report will be available on the website of The Stock Exchange of Hong Kong Limited (the "HKSE") on 26 October 2022. The full text of the quarterly financial report is in Chinese only.

IMPORTANT NOTICE:

The board of directors and the supervisory committee of the Company together with the directors, supervisors and the senior management guarantee that the content of this quarterly report is true, accurate and complete and does not contain any false representations, misleading statements or material omissions, and severally and jointly accept legal responsibility for the content of this report.

The person-in-charge of the Company, the person-in-charge of accounting affairs and the person responsible for the accounting department (head of the accounting department) guarantee that the financial information set out in this quarterly report are true, accurate and complete.

Whether the third quarterly financial statements is audited or not.

Yes No

I. MAJOR FINANCIAL DATA

(I) Major Accounting Data and Financial Indicators

Unit: RMB '000

| Items | The reporting period | The corresponding period of the previous year | | Increase/decrease in the reporting period as compared to the corresponding period of the previous year (%) | From the beginning of the year to the end of the reporting period | The corresponding period of the previous year | | Increase/decrease from the beginning of the year to the end of the reporting period as compared to the corresponding period of the previous year (%) |
|--|----------------------|---|------------------|--|---|--|-------------------|--|
| | | Before adjustment | After adjustment | | | After adjustment | Before adjustment | |
| Operational revenue | 57,306,211 | 63,018,918 | 65,392,418 | -12.4 | 175,344,940 | 161,738,815 | 167,571,903 | 4.6 |
| Net profit attributable to shareholders of the listed company | 5,951,443 | 4,269,965 | 4,305,668 | 38.2 | 19,328,210 | 11,855,946 | 11,920,746 | 62.1 |
| Net profit attributable to shareholders of the listed company net of non-recurring gains or losses | 5,918,098 | 4,254,044 | 4,282,419 | 38.2 | 19,231,860 | 11,793,529 | 11,835,192 | 62.5 |
| Net cash flow generated from operating activities | 17,695,744 | 11,802,831 | 12,072,181 | 46.6 | 37,817,926 | 32,213,327 | 32,604,133 | 16.0 |
| Basic earnings per share (RMB per share) | 0.45 | 0.32 | 0.32 | 40.6 | 1.46 | 0.89 | 0.90 | 62.2 |
| Diluted earnings per share (RMB per share) | 0.45 | 0.32 | 0.32 | 40.6 | 1.46 | 0.89 | 0.90 | 62.2 |
| Weighted average return on net assets (%) | 4.64 | 3.88 | 3.87 | Increased by 0.77 percentage points | 15.72 | 11.12 | 11.05 | Increased by 4.67 percentage points |
| | | As at the end of the reporting period | | As at the end of the previous year | | Increase/decrease at the end of the reporting period as compared to the end of the previous year (%) | | |
| | | | | Before adjustment | After adjustment | After adjustment | | |
| Total assets | | 343,264,793 | | 321,738,497 | 322,610,395 | | | 6.4 |
| Owners' equity attributable to shareholders of the listed company | | 131,526,331 | | 113,786,250 | 114,345,615 | | | 15.0 |

Notes:

1. “The reporting period” refers to the three-month period from the beginning of the quarter to the end of the quarter, the same below.
2. Explanation of the reasons for retrospective adjustment or restatement:
 - (1) For business combination under common control of the Company, the Company has retrospectively adjusted the figures for the corresponding period of the previous year and as at the end of the previous year in accordance with the relevant requirements of the accounting standards;
 - (2) According to the requirement of “Accounting Treatment for the Sale of Products or By-Products Produced by the Enterprise Before Fixed Assets Reaching Intended Use or in the Course of Research and Development (關於企業將固定資產達到預定可使用狀態前或者研發過程中產出的產品或副產品對外銷售的會計處理)” in the Interpretation No.15 of the Accounting Standards for Business Enterprises (《企業會計準則解釋第15號》) issued by the Ministry of Finance on 30 December 2021, the Company has retrospectively adjusted the figures for the corresponding period of the previous year and as at the end of the previous year.

(II) Non-recurring gains or losses and relevant amounts*Unit: RMB'000*

| Items | Amount for the reporting period | Amount from the beginning of the year to the end of the reporting period | Explanations |
|---|--|---|---------------------|
| Profit or loss on disposal of non-current assets | -150 | 5,011 | — |
| Government grants included in profit or loss for the current period, excluding those closely related to the Company's ordinary business and granted on an ongoing basis in fixed amount or volume according to certain principles under national policies | 40,338 | 151,340 | — |
| Gain or loss from external entrusted loans | 50 | 149 | — |
| Gain or loss from disposal of long-term equity investment | 0 | 3,490 | — |
| Other non-operating income and expenses apart from the foregoing | 27,740 | 8,224 | — |
| Less: Effect of income tax | 17,990 | 49,059 | |
| Impact on minority shareholders' interests (after tax) | 16,643 | 22,805 | |
| Total | 33,345 | 96,350 | |

Explanation for determination of the non-recurring profit or loss items defined in the Explanatory Announcement No. 1 on Information Disclosure for Companies Offering Their Securities to the Public-Extraordinary Profit and Loss as recurring items is as below:

Applicable Not applicable

(III) Major production and operational data*Unit: RMB*

| Items | Unit | January to September 2022 | January to September 2021 (Restated) | Percentage change % |
|--|----------------|---------------------------|--------------------------------------|---------------------|
| I. Coal operations | | | | |
| (I) Production volume of commercial coal | 10,000 tonnes | 9,200 | 8,349 | 10.2 |
| Of which: Thermal coal | 10,000 tonnes | 8,368 | 7,524 | 11.2 |
| Coking coal | 10,000 tonnes | 832 | 825 | 0.8 |
| (II) Sales volume of commercial coal | 10,000 tonnes | 20,442 | 23,305 | -12.3 |
| 1. Sales volume of self-produced coal | 10,000 tonnes | 9,187 | 8,299 | 10.7 |
| Of which: external sales volume | 10,000 tonnes | 8,406 | 7,743 | 8.6 |
| 2. Sales volume of proprietary coal trading | 10,000 tonnes | 10,350 | 14,262 | -27.4 |
| Of which: external sales volume | 10,000 tonnes | 9,868 | 13,453 | -26.6 |
| 3. Agency sales of coal | 10,000 tonnes | 905 | 744 | 21.6 |
| II. Coal chemical operations | | | | |
| (I) Polyolefin | | | | |
| 1. Polyethylene production volume | 10,000 tonnes | 54.2 | 55.6 | -2.5 |
| Sales volume | 10,000 tonnes | 53.7 | 54.5 | -1.5 |
| 2. Polypropylene production volume | 10,000 tonnes | 55.2 | 54.3 | 1.7 |
| Sales volume | 10,000 tonnes | 53.6 | 54.6 | -1.8 |
| (II) Urea | | | | |
| 1. Production volume | 10,000 tonnes | 129.2 | 153.2 | -15.7 |
| 2. Sales volume | 10,000 tonnes | 141.1 | 170.0 | -17.0 |
| (III) Methanol | | | | |
| 1. Production volume | 10,000 tonnes | 133.0 | 106.2 | 25.2 |
| 2. Sales volume | 10,000 tonnes | 130.0 | 104.5 | 24.4 |
| Of which: external sales volume | 10,000 tonnes | 24.5 | 32.9 | -25.5 |
| III. Coal mining equipment operations | | | | |
| Output value of coal mining equipment | RMB100 million | 77.4 | 70.3 | 10.1 |

(IV) Major differences arising from the adoption of different accounting standards in preparing the financial statements

Unit: RMB'000

| | Net profit attributable to shareholders of the listed company | | Net assets attributable to shareholders of the listed company | |
|---|---|--------------------------------------|---|-----------------------------|
| | January to September 2022 | January to September 2021 (Restated) | 30 September 2022 | 31 December 2021 (Restated) |
| According to PRC GAAP | 19,328,210 | 11,920,746 | 131,526,331 | 114,345,615 |
| Items and amounts adjusted according to IAS: | | | | |
| (a) Adjustment to special funds and deferred tax of the coal industry | 1,637,775 | 1,333,468 | -63,977 | -62,332 |
| (b) Adjustment to floating of non-tradable shares under equity split | – | – | -155,259 | -155,259 |
| (c) Adjustment to government grants | 2,783 | 2,783 | -15,767 | -18,550 |
| According to IAS | 20,968,768 | 13,256,997 | 131,291,328 | 114,109,474 |

Explanations on major reconciliations are as follows:

- (a) Adjustment to special reserves and relevant deferred tax: special reserves comprise maintenance fee, safety fund, coal mine transformation fund, mining environmental restoration security deposit and sustainable development reserve. Under PRC GAAP, the Company should make provisions for the special reserves which will be accounted as the production cost and contributions made to the special reserves of equity attributable to shareholders. Non-capital expenditure incurred should be directly offset against special reserves upon incurrence, while capital expenditure incurred should be recorded as fixed assets upon completion, and offset against special reserves based on the cost of the fixed assets with accumulated depreciation fully recognized. After that, no subsequent provisions for depreciation would be made to the relevant fixed assets. Under IFRS, provisions made for the special reserves should be recorded as retained earnings provision while the relevant expenditures are recognized upon incurrence and the special reserves are accordingly transferred to retained earnings.
- (b) Under PRC GAAP, the consideration paid by holders of non-tradable shares to holders of tradable shares in accordance with the reform proposal of equity split should be recorded as long-term equity investments in the balance sheet. Under IFRS, such consideration shall be recorded as interests of minority shareholders directly deducted from the equity attributable to shareholders.
- (c) Under PRC GAAP, subsidies considered as capital investment by the government should be recorded in “capital reserve”. Under IFRS, the subsidies mentioned above shall be treated as government grants.

(V) Changes of the major accounting data and financial indicators and the reasons thereof

√ Applicable □ Not applicable

| Items | Percentage change (%) | Major reasons |
|---|------------------------------|---|
| Net profit attributable to shareholders of the listed company-reporting period | 38.2 | Mainly due to the year-on-year increase in net profit attributable to shareholders of the listed company for the reporting period as a result of the increase in production and sales volume of self-produced commercial coal with the great efforts of the Company in increasing production and sales to ensure energy supply, scientific cost control, and the year-on-year decrease in provision for asset impairment losses. |
| Net profit attributable to shareholders of the listed company net of non-recurring gains or losses-reporting period | 38.2 | The same as above. |
| Net profit attributable to shareholders of the listed company-period from the beginning of the year to the end of the reporting period | 62.1 | Mainly due to the significant year-on-year increase in net profit attributable to shareholders of the listed company for the period from the beginning of the year to the end of the reporting period as a result of the combined effect of the constantly high market price of coal, the increase in production and sales volume of self-produced commercial coal with the great efforts of the Company in increasing production and sales to ensure energy supply, the increase in investment gains recognized for investee companies, and the increase in provision for asset impairment losses. |
| Net profit attributable to shareholders of the listed company net of non-recurring gains or losses-period from the beginning of the year to the end of the reporting period | 62.5 | The same as above. |

| Items | Percentage change (%) | Major reasons |
|---|-------------------------------------|---|
| Net cash flow generated from operating activities-reporting period | 46.6 | Mainly due to the increase in net cash inflow generated from operating activities for the reporting period as a result of the Company's growth in operating results, continuous efforts in enhancing its refined management of capital to reduce the proportion of working capital, and the increase in deposits absorbed from members other than China Coal Energy by China Coal Finance Co., Ltd. |
| Basic earnings per share (RMB per share) – reporting period | 40.6 | Mainly due to the year-on-year increase in the Company's net profit attributable to shareholders of the listed company for the reporting period. |
| Diluted earnings per share (RMB per share) – reporting period | 40.6 | The same as above. |
| Basic earnings per share (RMB per share) – period from the beginning of the year to the end of the reporting period | 62.2 | Mainly due to the significant year-on-year increase in the Company's net profit attributable to shareholders of the listed company for the period from the beginning of the year to the end of the reporting period. |
| Diluted earnings per share (RMB per share) – period from the beginning of the year to the end of the reporting period | 62.2 | The same as above. |
| Weighted average return on net assets (%) – period from the beginning of the year to the end of the reporting period | Increased by 4.67 percentage points | Mainly due to the significant year-on-year increase in the Company's net profit attributable to shareholders of the listed company for the period from the beginning of the year to the end of the reporting period. |

(VI) Changes in Accounting Items and Analysis of Operational Status of Major Business Sectors

1. Analysis of Accounting Items with Notable Changes

Unit: RMB' 000

| Items | As at 30 September 2022/ January to September 2022 | As at 31 December 2021/ January to September 2021 (Restated) | Percentage change (%) | Major reasons |
|---|--|--|-----------------------|--|
| Prepayments | 3,705,211 | 2,290,850 | 61.7 | Mainly due to the increase in the Company's prepayment for railway transportation fees and the purchases of spare parts, materials and coal based on the needs for production and sales activities. |
| Contract assets | 2,270,637 | 1,662,944 | 36.5 | Mainly due to the increase in the right to receive the consideration arising from the sales of coal mining machinery products of the Company, which will be exercised upon the completion of relevant ancillary services as agreed under the contract. |
| Other current assets | 1,980,671 | 3,350,436 | -40.9 | Mainly due to the recovery of part of the revolving loans for operations provided to members other than China Coal Energy by China Coal Finance Co., Ltd. |
| Construction in progress | 12,871,305 | 19,597,073 | -34.3 | Mainly due to the decrease in construction in progress as a result of the commencement of production of the first mining working face of Dahaize Coal Mine of China Coal Shaanxi Yulin Energy & Chemical Company Limited. |
| Short-term borrowings | 190,548 | 654,155 | -70.9 | Mainly due to the Company's repayment for the short-term borrowings due. |
| Contract liabilities | 7,123,040 | 5,372,787 | 32.6 | Mainly due to the increase in receipts in advance for sales of goods for which contractual obligations have not yet been fulfilled. |
| Non-current liabilities due within one year | 31,874,266 | 21,715,839 | 46.8 | Mainly due to the reclassification of long-term borrowings due within one year as this item for presentation. |

| Items | As at 30 September 2022/ January to September 2022 | As at 31 December 2021/ January to September 2021 (Restated) | Percentage change (%) | Major reasons |
|-----------------------------------|---|---|--------------------------------------|--|
| Other current liabilities | 26,161,783 | 19,104,147 | 36.9 | Mainly due to the increase in deposits absorbed from members other than China Coal Energy by China Coal Finance Co., Ltd. |
| Long-term borrowings | 39,989,626 | 60,442,685 | -33.8 | Mainly due to the reclassification of long-term borrowings due within one year as “Non-current liabilities due within one year” for presentation. |
| Provisions | 5,048,212 | 3,663,417 | 37.8 | Mainly due to the increase in provision for the funds for governance and restoration of geological environment of mines in respect of Dahaize Coal Mine of China Coal Shaanxi Yulin Energy & Chemical Company Limited in accordance with requirements of relevant national policies. |
| Tax expenses and surcharges | 5,902,572 | 4,393,790 | 34.3 | Mainly due to the year-on-year increase in ad valorem resource tax attributable to the increase in sales of self-produced coal and the higher selling prices. |
| Research and development expenses | 474,165 | 350,447 | 35.3 | Mainly due to the increase in research and development expenses resulting from the Company’s strengthened investment in science and technology innovation. |
| Investment gains | 3,928,092 | 2,716,475 | 44.6 | Mainly due to the year-on-year increase in earnings of investee companies attributable to the rise in market prices of coal, which resulted in the corresponding increase in investment gains recognized by the Company in proportion to its shareholding. |
| Asset impairment losses | -1,912,762 | -787,953 | 142.8 | Mainly due to the impairment tests organized and conducted by the Company in accordance with accounting standards for enterprises with production and operation losses and coal mines with reduced mineable reserves due to changes in underground geological conditions, which resulted in the year-on-year increase in provision for asset impairment losses based on the results of the impairment tests. |

2. Revenue, cost and gross profit of coal operations

For the period from January to September 2022, the Company's coal business achieved sales revenue of RMB151.182 billion, representing an increase of RMB6.079 billion or 4.2% as compared with RMB145.103 billion for the same period of the previous year. Among them: sales revenue from self-produced commercial coal amounted to RMB67.084 billion, representing an increase of RMB18.18 billion or 37.2% as compared with RMB48.904 billion for the same period of the previous year, mainly because the selling price increased by RMB141/tonne year on year, increasing revenue by RMB12.947 billion, and the sales of self-produced commercial coal increased by 8.88 million tonnes year on year, increasing revenue by RMB5.233 billion. Sales revenue of proprietary coal trading amounted to RMB84.037 billion, representing a decrease of RMB12.145 billion or 12.6% as compared with RMB96.182 billion for the same period of the previous year, mainly because the sales volume of proprietary coal trading decreased by 39.12 million tonnes year on year, reducing revenue by RMB26.383 billion, and the selling price increased by RMB138/tonne year on year, increasing revenue by RMB14.238 billion.

Cost of sales of coal operations amounted to RMB111.424 billion, representing a decrease of RMB8.85 billion or 7.4% as compared with RMB120.274 billion for the same period of the previous year. Among them, cost of sales of self-produced commercial coal increased by RMB3.405 billion year on year, which was attributable to the year-on-year increase in sales volume of self-produced commercial coal by 8.88 million tonnes and the year-on-year increase in unit cost of sales of self-produced commercial coal by RMB8.28/tonne; cost of sales of proprietary coal trading decreased by RMB12.255 billion year on year, which was due to the combined effect of the year-on-year decrease in sales volume of proprietary coal trading by 39.12 million tonnes and the year-on-year increase in unit cost of sales by RMB135/tonne.

For the period from January to September 2022, the Company's sales scale of self-produced commercial coal expanded with an increased selling price, and the coal operations achieved a gross profit of RMB39.758 billion, representing an increase of RMB14.929 billion or 60.1%, as compared with RMB24.829 billion for the same period of the previous year.

3. Coal sales volume and selling prices before netting of inter-segmental sales and the year-on-year changes

Currency: RMB

| Items | | January to September 2022 | | January to September 2021 (Restated) | | Year-on-year | | | |
|---|---------------------------|------------------------------|---------------------------|--------------------------------------|---------------------------|------------------------------|---------------------------|---------------------------------|-------------------|
| | | | | | | Increase/decrease in amount | | Increase/decrease in percentage | |
| | | Sales volume (10,000 tonnes) | Selling price (RMB/tonne) | Sales volume (10,000 tonnes) | Selling price (RMB/tonne) | Sales volume (10,000 tonnes) | Selling price (RMB/tonne) | Sales volume (%) | Selling price (%) |
| I. Self-produced commercial coal | Total | 9,187 | 730 | 8,299 | 589 | 888 | 141 | 10.7 | 23.9 |
| | (I) Thermal coal | 8,354 | 627 | 7,471 | 531 | 883 | 96 | 11.8 | 18.1 |
| | 1. Domestic sale | 8,353 | 626 | 7,471 | 531 | 882 | 95 | 11.8 | 17.9 |
| | 2. Export | 1 | 2,522 | ☆ | ☆ | 1 | - | - | - |
| | (II) Coking coal | 833 | 1,769 | 828 | 1,118 | 5 | 651 | 0.6 | 58.2 |
| | 1. Domestic sale | 833 | 1,769 | 828 | 1,118 | 5 | 651 | 0.6 | 58.2 |
| II. Proprietary coal trading | Total | 10,350 | 812 | 14,262 | 674 | -3,912 | 138 | -27.4 | 20.5 |
| | (I) Domestic resale | 10,251 | 808 | 14,094 | 675 | -3,843 | 133 | -27.3 | 19.7 |
| | (II) Self-operated export | 41 | 2,054 | 29 | 1,088 | 12 | 966 | 41.4 | 88.8 |
| | (III) Import trading | 58 | 729 | 139 | 493 | -81 | 236 | -58.3 | 47.9 |
| III. Import and export and domestic agency★ | Total | 905 | 7 | 744 | 2 | 161 | 5 | 21.6 | 250.0 |
| | (I) Import agency | ☆ | ☆ | 1 | 6 | -1 | - | -100.0 | - |
| | (II) Export agency | 18 | 50 | 4 | 12 | 14 | 38 | 350.0 | 316.7 |
| | (III) Domestic agency | 887 | 6 | 739 | 2 | 148 | 4 | 20.0 | 200.0 |

★: Selling price represents the agency service fee.

☆: N/A for the period.

Note: Sales volume of commercial coal is before netting of inter-segmental sales within the Company, which was 12.63 million tonnes for the period from January to September 2022 and 13.65 million tonnes for the period from January to September 2021.

4. The unit cost of sales of self-produced commercial coal and the year-on-year changes

Unit: RMB/tonne Currency: RMB

| Items | January to September 2022 | January to September 2021 (Restated) | Year-on-year | |
|---|---------------------------|--------------------------------------|-----------------------------|-------------------------------------|
| | | | Increase/decrease in amount | Increase/decrease in percentage (%) |
| Materials costs | 59.85 | 55.05 | 4.80 | 8.7 |
| Staff costs | 45.55 | 33.88 | 11.67 | 34.4 |
| Depreciation and amortization | 50.42 | 56.96 | -6.54 | -11.5 |
| Repair expenses | 12.63 | 11.91 | 0.72 | 6.0 |
| Outsourcing mining engineering fee | 27.45 | 25.65 | 1.80 | 7.0 |
| Transportation costs and port expenses | 67.98 | 70.91 | -2.93 | -4.1 |
| Other costs | 42.30 | 43.54 | -1.24 | -2.8 |
| Unit cost of sales of self-produced commercial coal | 306.18 | 297.90 | 8.28 | 2.8 |

For the period from January to September 2022, the Company's unit cost of sales of self-produced commercial coal was RMB306.18/tonne, representing an increase of RMB8.28/tonne or 2.8% year on year, which was mainly due to the increase in materials consumed and mining engineering volume as the Company increased its efforts to strip the open-pit mines and excavate the underground mines for enhancing the continuous production, together with the increase in procurement prices of diesel and electricity which were relatively substantially used in the production process, resulting in the year-on-year increase in material costs and outsourcing mining engineering fee per tonne of coal; the year-on-year increase in staff cost per tonne of coal due to the Company's reasonable adjustments to the salaries of employees based on operating results, bonus distribution as well as the gradual reduction in engaging outsourcing labour by enhancing efforts in building its inhouse team for coal production in each mining area in accordance with the requirements of relevant policies; the year-on-year decrease in depreciation and amortization cost per tonne of coal due to the year-on-year decrease in amount of depreciation and amortization after provision for asset impairment for part of the coal operations of the Company as well as the dilution effect from the increase in production volume of self-produced commercial coal; and the year-on-year decrease in transportation costs and port expenses per tonne of coal due to the decrease in proportion of the sales volume of self-produced commercial coal for which the Company bore the transportation costs and port expenses to the total sales volume of the Company's self-produced commercial coal.

5. Sales volume and price of major coal chemical products and the year-on-year changes

Currency: RMB

| Items | January to September 2022 | | January to September 2021 (Restated) | | Year-on-year | | | |
|--|------------------------------|---------------------------|--------------------------------------|---------------------------|------------------------------|---------------------------|---------------------------------|-------------------|
| | | | | | Increase/decrease in amount | | Increase/decrease in percentage | |
| | Sales volume (10,000 tonnes) | Selling price (RMB/tonne) | Sales volume (10,000 tonnes) | Selling price (RMB/tonne) | Sales volume (10,000 tonnes) | Selling price (RMB/tonne) | Sales volume (%) | Selling price (%) |
| I Polyolefin | 107.3 | 7,514 | 109.1 | 7,423 | -1.8 | 91 | -1.6 | 1.2 |
| 1. Polyethylene | 53.7 | 7,573 | 54.5 | 7,274 | -0.8 | 299 | -1.5 | 4.1 |
| 2. Polypropylene | 53.6 | 7,455 | 54.6 | 7,571 | -1.0 | -116 | -1.8 | -1.5 |
| II Urea | 141.1 | 2,645 | 170.0 | 2,128 | -28.9 | 517 | -17.0 | 24.3 |
| III Methanol | 130.0 | 1,934 | 104.5 | 1,765 | 25.5 | 169 | 24.4 | 9.6 |
| Of which: Inter-segment self-consumption | 105.5 | 1,939 | 71.6 | 1,764 | 33.9 | 175 | 47.3 | 9.9 |
| External sales | 24.5 | 1,913 | 32.9 | 1,766 | -8.4 | 147 | -25.5 | 8.3 |

6. Unit cost of sales of major coal chemical products and year-on-year changes

Unit: RMB/tonne Currency: RMB

| Items | January to September 2022 | January to September 2021 (Restated) | Year-on-year | |
|------------------|---------------------------|--------------------------------------|-----------------------------|-------------------------------------|
| | | | Increase/decrease in amount | Increase/decrease in percentage (%) |
| I Polyolefin | 6,986 | 6,406 | 580 | 9.1 |
| 1. Polyethylene | 6,999 | 6,378 | 621 | 9.7 |
| 2. Polypropylene | 6,972 | 6,434 | 538 | 8.4 |
| II Urea | 1,923 | 1,493 | 430 | 28.8 |
| III Methanol | 1,952 | 1,700 | 252 | 14.8 |

II. INFORMATION OF SHAREHOLDERS

(I) Table of the total number of shareholders of ordinary shares and shareholders of preference shares with voting rights restored and shareholding of top ten shareholders

Unit: shares

| Total number of shareholders of ordinary shares at the end of the Reporting Period | 106,596 | Total number of shareholders of preference shares with voting rights restored at the end of the Reporting Period (if any) | - | | | |
|--|--------------------------|---|-------------------------------------|---|----------------------------------|--------|
| Particulars of top 10 shareholders | | | | | | |
| Name of shareholders | Nature of shareholders | Number of shares held | Percentage of shareholding held (%) | Number of shares subject to trading moratorium held | Shares pledged, marked or frozen | |
| | | | | | Status | Number |
| China National Coal Group Corporation | State-owned legal person | 7,605,207,608 | 57.36% | - | Nil | 0 |
| HKSCC NOMINEES LIMITED | Overseas legal person | 3,958,230,038 | 29.85% | - | Unknown | - |
| China Securities Finance Corporation Limited (中國證券金融股份有限公司) | State-owned legal person | 335,624,355 | 2.53% | - | Nil | 0 |
| Hong Kong Securities Clearing Company Limited | Overseas legal person | 168,930,803 | 1.27% | - | Nil | 0 |
| China Coal Hong Kong Limited (中煤能源香港有限公司) | State-owned legal person | 132,351,000 | 1.00% | - | Nil | 0 |
| Central Huijin Asset Management Company Ltd. (中央匯金資產管理有限責任公司) | State-owned legal person | 65,745,241 | 0.50% | - | Nil | 0 |
| Bank of Beijing Co., Ltd. – Invesco Great Wall Jingyi Dual Return Bond Securities Investment Fund (北京銀行股份有限公司 – 景順長城景頤雙利債券型證券投資基金) | Others | 39,243,301 | 0.30% | - | Nil | 0 |
| Industrial and Commercial Bank of China Co., Ltd. – Guotai Zhongzheng Coal Tradable Open Index Securities Investment Fund (中國工商銀行股份有限公司 – 國泰中證煤炭交易型開放式指數證券投資基金) | Others | 19,682,810 | 0.15% | - | Nil | 0 |
| E Fund – China Reform State-owned Enterprise New Development Pattern Private Equity Investment Fund – E Fund – State-owned Enterprise Stable Income – 1 Single Asset Management Plan (易方達基金 – 國新央企新發展格局私募證券投資基金 – 易方達基金 – 央企穩健收益 – 1 單一資產管理計劃) | Others | 14,583,600 | 0.11% | - | Nil | 0 |
| Bank of China Limited – Guotou Ruiyin Ruili Flexible Allocation Hybrid Equity Investment Fund (LOF) (中國銀行股份有限公司 – 國投瑞銀瑞利靈活配置混合型證券投資基金(LOF)) | Others | 10,978,944 | 0.08% | - | Nil | 0 |

| Particulars of top 10 shareholders not subject to trading moratorium | | | |
|--|--|------------------------------------|---------------|
| Name of Shareholder | Number of listed shares held not subject to trading moratorium | Type and number of Shares | |
| | | Type | Number |
| China National Coal Group Corporation | 7,605,207,608 | Ordinary shares denominated in RMB | 7,605,207,608 |
| HKSCC NOMINEES LIMITED | 3,958,230,038 | Overseas listed foreign shares | 3,958,230,038 |
| China Securities Finance Corporation Limited (中國證券金融股份有限公司) | 335,624,355 | Ordinary shares denominated in RMB | 335,624,355 |
| Hong Kong Securities Clearing Company Limited | 168,930,803 | Ordinary shares denominated in RMB | 168,930,803 |
| China Coal Hong Kong Limited (中煤能源香港有限公司) | 132,351,000 | Overseas listed foreign shares | 132,351,000 |
| Central Huijin Asset Management Ltd. (中央匯金資產管理有限責任公司) | 65,745,241 | Ordinary shares denominated in RMB | 65,745,241 |
| Bank of Beijing Co., Ltd. – Invesco Great Wall Jingyi Dual Return Bond Securities Investment Fund (北京銀行股份有限公司 – 景順長城景頤雙利債券型證券投資基金) | 39,243,301 | Ordinary shares denominated in RMB | 39,243,301 |
| Industrial and Commercial Bank of China Co., Ltd. – Guotai Zhongzheng Coal Tradable Open Index Securities Investment Fund (中國工商銀行股份有限公司 – 國泰中證煤炭交易型開放式指數證券投資基金) | 19,682,810 | Ordinary shares denominated in RMB | 19,682,810 |
| E Fund – China Reform State-owned Enterprise New Development Pattern Private Equity Investment Fund – E Fund – State-owned Enterprise Stable Income – 1 Single Asset Management Plan (易方達基金 – 國新央企新發展格局私募證券投資基金 – 易方達基金 – 央企穩健收益 – 1 單一資產管理計劃) | 14,583,600 | Ordinary shares denominated in RMB | 14,583,600 |
| Bank of China Limited – Guotou Ruiyin Ruili Flexible Allocation Hybrid Equity Investment Fund (LOF) (中國銀行股份有限公司 – 國投瑞銀瑞利靈活配置混合型證券投資基金(LOF)) | 10,978,944 | Ordinary shares denominated in RMB | 10,978,944 |
| Explanations on affiliated relationship or parties acting in concert among the above-mentioned shareholders | China Coal Hong Kong Limited (中煤能源香港有限公司) is a wholly-owned subsidiary of China Coal Group (中煤集團), the controlling shareholder of the Company. It is not certain if any of the other shareholders are affiliated or acting in concert with each other. | | |
| Details of top ten shareholders and top 10 shareholders not subject to trading moratorium participating in securities margin trading and short selling and refinancing (if any) | Nil | | |

Notes: The above tables of particulars of top 10 shareholders and particulars of top 10 shareholders not subject to trading moratorium are based on the followings:

- (1) The above information was prepared in accordance with the register of shareholders of the Company as at 30 September 2022 provided by the China Securities Depository and Clearing Corporation Limited Shanghai Branch and Computershare Hong Kong Investor Services Limited.
- (2) The A shares held by Hong Kong Securities Clearing Company Limited are held on behalf of various customers.
- (3) The H shares held by HKSCC Nominees Limited are held on behalf of various customers.

As at 30 September 2022, according to the disclosure of interests published on the website of HKSE, Funde Sino Life Insurance Co., Ltd. had long positions in 2,012,858,147 H shares of the Company.

III. OTHER CAUTIONS

Other important information in relation to operating of the Company during the reporting period that investors are advised to pay attention.

Applicable Not applicable

By Order of the Board
China Coal Energy Company Limited
Wang Shudong
Chairman of the Board, Executive Director

Beijing, the PRC
26 October 2022

As at the date of this announcement, the Company's executive directors are Wang Shudong and Peng Yi; non-executive directors are Zhao Rongzhe and Xu Qian; independent non-executive directors are Zhang Ke, Zhang Chengjie, and Leung Chong Shun.