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## **CHINA COAL ENERGY COMPANY LIMITED\***

**中國中煤能源股份有限公司**

*(A joint stock company incorporated in the People's Republic of China with limited liability)*

**(Stock Code: 01898)**

### **THIRD QUARTERLY REPORT 2024**

Pursuant to the regulations and rules of China Securities Regulatory Commission and Shanghai Stock Exchange (“SSE”), China Coal Energy Company Limited (the “**Company**” or “**China Coal Energy**”, together with its subsidiaries, collectively the “**Group**”) is required to publish reports on a quarterly basis.

This announcement is made pursuant to Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) and Rule 13.09 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

This announcement is a summary of the 2024 third quarterly financial report of the Company for the nine months ended 30 September 2024. The full text of the quarterly financial report will be available on the website of The Stock Exchange of Hong Kong Limited (the “**HKSE**”) on 23 October 2024. The full text of the quarterly financial report is in Chinese only.

#### **IMPORTANT NOTICE:**

The board of directors and the supervisory committee of the Company together with the directors, supervisors and the senior management thereof guarantee that the content of this quarterly report is true, accurate and complete and does not contain any false representations, misleading statements or material omissions, and severally and jointly accept legal responsibility for the content of this report.

The person-in-charge of the Company, the person-in-charge of accounting affairs and the person responsible for the accounting department (head of the accounting department) guarantee that the financial information set out in this quarterly report is true, accurate and complete.

Whether the third quarterly financial statements are audited or not

Yes    No

## I. MAJOR FINANCIAL DATA

### (I) Major accounting data and financial indicators

Unit: RMB'000

Items	The reporting period	Increase/decrease in the reporting period as compared to the corresponding period of the previous year (%)	From the beginning of the year to the end of the reporting period	Increase/decrease from the beginning of the year to the end of the reporting period as compared to the corresponding period of the previous year (%)
Operational revenue	47,428,310	1.2	140,412,180	-10.1
Net profit attributable to shareholders of the listed company	4,826,277	-0.6	14,614,069	-12.4
Net profit attributable to shareholders of the listed company net of non-recurring gains or losses	4,769,138	-1.0	14,425,130	-12.9
Net cash flows generated from operating activities	N/A	N/A	25,756,764	-9.2
Basic earnings per share (RMB per share)	0.36	-2.7	1.10	-12.7
Diluted earnings per share (RMB per share)	0.36	-2.7	1.10	-12.7
Weighted average return on net assets (%)	3.25	Decreased by 0.20 percentage point	9.95	Decreased by 2.22 percentage points
	As at the end of the reporting period		As at the end of the previous year	Increase/decrease in the reporting period as compared to the corresponding period of the previous year (%)
Total assets	360,629,392		349,359,919	3.2
Owners' equity attributable to shareholders of the listed company	149,495,273		144,121,015	3.7

Note: The "reporting period" refers to the three-month period from the beginning of the quarter to the end of the quarter, same below.

**(II) Items of non-recurring gains or losses and relevant amounts**

√ Applicable    □ Not applicable

*Unit: RMB'000*

<b>Items of non-recurring gains or losses</b>	<b>Amount for the reporting period</b>	<b>Amount from the beginning of the year to the end of the reporting period</b>	<b>Explanations</b>
Profit or loss on disposal of non-current assets, including the write-off portion of provision for assets impairment	3,982	856	
Government grants included in profit or loss for the current period, excluding those closely related to the Company's ordinary business and granted on determined standards and having a sustained impact on the Company's profit and loss according to certain principles under national policies	67,354	232,259	
Capital occupation fee received from non-financial entities included in profit or loss for the current period	5,990	17,839	
Gains or losses from external entrusted loans	47	139	
Other non-operating income and expenses apart from the foregoing	9,399	3,978	
Less: Effect of income tax	20,837	60,228	
Impact on minority shareholders' interests (after tax)	8,796	5,904	
<b>Total</b>	<b>57,139</b>	<b>188,939</b>	

Explanation shall be provided for the Company's determination of the items which are not defined in the Explanatory Announcement No. 1 on Information Disclosure for Companies Offering Their Securities to the Public – Extraordinary Profit and Loss as non-recurring profit or loss items which are significant in amounts and the determination of the non-recurring profit or loss items defined in the Explanatory Announcement No. 1 on Information Disclosure for Companies Offering Their Securities to the Public – Extraordinary Profit and Loss as recurring items.

□ Applicable    √ Not applicable

**(III) Major production and operational data***Currency: RMB*

Items	Unit	January to September 2024	January to September 2023	Percentage change %
<b>I. Coal operations</b>				
(I) Production volume of commercial coal	10,000 tonnes	10,231	10,117	1.1
Of which: Thermal coal	10,000 tonnes	9,375	9,259	1.3
Coking coal	10,000 tonnes	856	858	-0.2
(II) Sales volume of commercial coal	10,000 tonnes	20,551	21,480	-4.3
Of which: Self-produced commercial coal	10,000 tonnes	10,038	9,967	0.7
Proprietary coal trading	10,000 tonnes	10,114	10,940	-7.6
Import and export and domestic agency	10,000 tonnes	399	573	-30.4
<b>II. Coal chemical operations</b>				
(I) Polyolefin				
1. Production volume	10,000 tonnes	114.4	111.2	2.9
2. Sales volume	10,000 tonnes	113.5	110.5	2.7
(II) Urea				
1. Production volume	10,000 tonnes	131.2	153.2	-14.4
2. Sales volume	10,000 tonnes	151.0	170.4	-11.4
(III) Methanol				
1. Production volume	10,000 tonnes	121.3	145.7	-16.7
2. Sales volume	10,000 tonnes	120.6	146.5	-17.7
(IV) Ammonium nitrate				
1. Production volume	10,000 tonnes	42.3	41.2	2.7
2. Sales volume	10,000 tonnes	42.2	41.5	1.7
<b>III. Coal mining equipment operations</b>				
Output value of coal mining equipment	RMB100 million	77.2	88.0	-12.3

**(IV) Major differences arising from the adoption of different accounting standards in preparing the financial statements**

*Unit: RMB'000*

	Net profit attributable to shareholders of the listed company		Net assets attributable to shareholders of the listed company	
	January to September 2024	January to September 2023	30 September 2024	31 December 2023
According to PRC GAAP	14,614,069	16,688,039	149,495,273	144,121,015
Items and amounts adjusted according to IAS:				
(a) Adjustment to special funds and deferred tax of the coal industry	1,014,587	947,729	-97,483	-72,252
(b) Adjustment to floating of non-tradable shares under equity split	-	-	-155,259	-155,259
(c) Adjustment to government grants	2,783	2,783	-8,347	-11,130
According to IAS	15,631,439	17,638,551	149,234,184	143,882,374

Explanations on major reconciliations are as follows:

- (a) Adjustment to special reserves and relevant deferred tax: special reserves comprise maintenance fee, safety fund, coal mine transformation fund and sustainable development reserve. Under PRC GAAP, the Company should make provisions for the special reserves which will be accounted as the production cost and contributions made to the special reserves of equity attributable to shareholders. Non-capital expenditure incurred should be directly offset against special reserves upon incurrence, while capital expenditure incurred should be recorded as fixed assets upon completion, and offset against special reserves based on the cost of the fixed assets with accumulated depreciation fully recognised. After that, no subsequent provisions for depreciation would be made to the relevant fixed assets. Under IFRS, provisions made for the special reserves should be recorded as retained earnings provision while the relevant expenditures are recognised upon incurrence and the special reserves are accordingly transferred to retained earnings.
- (b) Under PRC GAAP, the consideration paid by holders of non-tradable shares to holders of tradable shares in accordance with the reform proposal of equity split should be recorded as long-term equity investments in the balance sheet. Under IFRS, such consideration shall be recorded as interests of minority shareholders directly deducted from the equity attributable to shareholders.
- (c) Under PRC GAAP, subsidies considered as capital investment by the government should be recorded in “capital reserve”. Under IFRS, the subsidies mentioned above shall be treated as government grants.

**(V) Changes of the major accounting data and financial indicators and the reasons thereof**

□ Applicable    √ Not applicable

**(VI) Changes in accounting items and analysis of operational status of major business sectors****1. Analysis of Accounting Items with Notable Changes***Unit: RMB'000*

<b>Items</b>	<b>As at 30 September 2024/ January to September 2024</b>	<b>As at 31 December 2023/ January to September 2023</b>	<b>Percentage change (%)</b>	<b>Major reasons</b>
Prepayments	4,047,302	2,471,452	63.8	Mainly attributable to the increase in the Company's prepayment for railway transportation fees, electricity charges and the purchases of materials to ensure safe production and sales during the National Day holiday.
Other current assets	2,629,873	1,667,969	57.7	Mainly attributable to the reclassification of the loans due within one year provided by China Coal Finance Co., Ltd. to members other than China Coal Energy to this item.
Other payables	10,851,201	5,521,150	96.5	Mainly attributable to the increase in dividends payable as the Company's 2024 interim dividend has been declared but has not yet been paid, as well as the increase in payables due within one year related to expenditures on exploitation and utilisation of resources.
Non-current liabilities due within one year	13,787,145	21,743,298	-36.6	Mainly attributable to the payment of the due long-term bank borrowings and the payment of medium-term notes of RMB5.0 billion as scheduled.
Bonds payables	5,488,496	7,993,019	-31.3	Mainly attributable to the reclassification of bonds payables due within one year to "Non-current liabilities due within one year".

Items	As at 30 September 2024/ January to September 2024	As at 31 December 2023/ January to September 2023	Percentage change (%)	Major reasons
Long-term payables	9,182,724	5,304,384	73.1	Mainly attributable to the increase in payables related to expenditures on exploitation and utilisation of resources.
Investment gains	1,721,805	2,508,065	-31.3	Mainly attributable to the year-on-year decrease in profit of invested companies as a result of the decrease in the market prices of coal products, which resulted in the corresponding decrease in investment gains recognized in proportional to the shareholding of the Company.
Net cash flow generated from investing activities	-3,843,575	-2,010,484	91.2	Mainly attributable to the combined effect of the year-on-year increase in cash used for capital expenditure of RMB3.984 billion and the year-on-year increase in cash inflow of RMB1.997 billion arising from decrease in fixed-term deposits with an initial deposit period of more than three months.

## **2. Revenue, cost and gross profit of coal operations**

From January to September 2024, the Company's coal operations achieved sales revenue of RMB117.854 billion, representing a decrease of RMB14.516 billion or 11.0% as compared with RMB132.370 billion for the same period of last year. Among them: the sales revenue of proprietary coal trading was RMB60.503 billion, representing a decrease of RMB11.698 billion or 16.2% as compared with RMB72.201 billion for the same period of last year, mainly because the sales price of proprietary coal trading decreased by RMB62/tonne year on year, decreasing revenue by RMB6.243 billion, and the sales volume decreased by 8.26 million tonnes year on year, decreasing revenue by RMB5.455 billion; and the sales revenue of self-produced commercial coal was RMB57.309 billion, representing a decrease of RMB2.822 billion or 4.7% as compared with RMB60.131 billion for the same period of last year, mainly because the sales price of self-produced commercial coal decreased by RMB32/tonne year on year, decreasing revenue by RMB3.245 billion, and the sales volume increased by 710,000 tonnes year on year, increasing revenue by RMB423 million.

From January to September 2024, the cost of sales of coal operations was RMB88.601 billion, representing a decrease of RMB12.313 billion or 12.2%, as compared to RMB100.914 billion for the same period of last year. Among them: the cost of sales of proprietary coal trading decreased by RMB11.696 billion year on year, which was due to the year-on-year decrease of the unit cost of sales of proprietary coal trading of RMB62/tonne and the year-on-year decrease of the sales

volume of 8.26 million tonnes; and the cost of sales of self-produced commercial coal decreased by RMB617 million year on year, which was due to the combined effects of the year-on-year decrease of the unit cost of sales of self-produced commercial coal of RMB8.19/tonne and the year-on-year increase of sales volume of 710,000 tonnes.

From January to September 2024, the coal operations achieved a gross profit of RMB29.253 billion, representing a decrease of RMB2.203 billion or 7.0%, as compared with RMB31.456 billion for the same period of last year.

### 3. Coal sales volume and selling prices before netting of inter-segmental sales and the year-on-year changes

Currency: RMB

Items		January to September 2024		January to September 2023		Year-on-year			
						Increase/decrease in amount		Increase/decrease in percentage	
		Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (%)	Selling price (%)
I. Self-produced commercial coal	Total	10,038	571	9,967	603	71	-32	0.7	-5.3
	(I) Thermal coal	9,191	503	9,114	532	77	-29	0.8	-5.5
	Domestic sale	9,191	503	9,114	532	77	-29	0.8	-5.5
	(II) Coking coal	847	1,306	853	1,364	-6	-58	-0.7	-4.3
	Domestic sale	847	1,306	853	1,364	-6	-58	-0.7	-4.3
II. Proprietary coal trading	Total	10,114	598	10,940	660	-826	-62	-7.6	-9.4
	(I) Domestic resale	9,338	600	10,828	656	-1,490	-56	-13.8	-8.5
	(II) Self-operated exports	44	1,360	37	1,894	7	-534	18.9	-28.2
	(III) Import trading	732	525	75	657	657	-132	876.0	-20.1
III. Import and export and domestic agency★	Total	399	10	573	7	-174	3	-30.4	42.9
	(I) Import agency	☆	☆	7	16	-7	-	-100.0	-
	(II) Export agency	58	36	23	68	35	-32	152.2	-47.1
	(III) Domestic agency	341	6	543	4	-202	2	-37.2	50.0

☆: N/A.

★: Selling price represents agency service fee.

Note: Sales volume of the commercial coal is before netting of inter-segmental sales within the Company, which was 12.94 million tonnes (including 9.00 million tonnes of self-produced commercial coal and 3.94 million tonnes of proprietary coal trading) from January to September 2024 and 13.54 million tonnes from January to September 2023.



#### 4. Unit cost of sales of self-produced commercial coal and the year-on-year changes

Unit: RMB/tonne Currency: RMB

Items	January to September 2024	January to September 2023	Year-on-year	
			Increase/ decrease in amount	Increase/ decrease in percentage (%)
Materials costs	56.11	54.53	1.58	2.9
Staff costs	53.27	45.25	8.02	17.7
Depreciation and amortization	45.46	51.07	-5.61	-11.0
Repair expenses	10.77	12.33	-1.56	-12.7
Transportation costs and port expenses	57.15	61.86	-4.71	-7.6
Outsourced mining engineering fee	27.02	28.16	-1.14	-4.0
Other costs ★	36.82	41.59	-4.77	-11.5
Unit cost of sales of self-produced commercial coal	286.60	294.79	-8.19	-2.8

★: Other costs include the environmental restoration expenses arising from coal mining, and the expenditures for the sporadic projects incurred in direct relation to coal production and the provisions of unused security fee and maintenance fee for the current period.

From January to September 2024, the Company's unit cost of sales of self-produced commercial coal was RMB286.60/tonne, representing a year-on-year decrease of RMB8.19/tonne or 2.8%. Including: the Company's orderly payment of salary based on the progress of performance, the replenishment of self-owned teams and the increase in the salary level of front-line production teams, leading to a year-on-year increase in staff costs per tonne of coal; the corresponding increase in the amortisation base under the production reserve approach as a result of the acquisition of resources for production continuity in the fourth quarter of 2023, leading to a year-on-year decrease in depreciation and amortisation costs per tonne of coal; the decrease in the proportion of the sales volume of self-produced commercial coal that incurred railway transportation and port expenses to the Company's total sales volume of self-produced commercial coal, leading to a year-on-year decrease in transportation costs and port expenses per tonne of coal; the year-on-year increase in use of the special funds expenditure, and the year-on-year decrease in production-related sporadic projects and auxiliary fees, leading to the year-on-year decrease of other costs per tonne of coal.

**5. Sales volume and price of major coal chemical products and the year-on-year changes**

*Currency: RMB*

Items	January to September 2024		January to September 2023		Year-on-year			
	Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Increase/decrease in amount		Increase/decrease in percentage	
					Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (%)	Selling price (%)
I. Polyolefin	113.5	6,971	110.5	6,914	3.0	57	2.7	0.8
1. Polyethylene	58.9	7,281	57.3	7,177	1.6	104	2.8	1.4
2. Polypropylene	54.6	6,636	53.2	6,631	1.4	5	2.6	0.1
II. Urea	151.0	2,134	170.4	2,408	-19.4	-274	-11.4	-11.4
III. Methanol	120.6	1,767	146.5	1,752	-25.9	15	-17.7	0.9
Of which: Inter-segment self-consumption	118.7	1,769	143.1	1,755	-24.4	14	-17.1	0.8
External sales	1.9	1,614	3.4	1,697	-1.5	-83	-44.1	-4.9
IV. Ammonium nitrate	42.2	2,101	41.5	2,386	0.7	-285	1.7	-11.9

**6. Unit cost of sales of major coal chemical products and the year-on-year changes**

*Unit: RMB/tonne Currency: RMB*

Items	January to September 2024	January to September 2023	Year-on-year	
			Increase/decrease in amount	Increase/decrease in percentage (%)
I. Polyolefin	6,015	6,071	-56	-0.9
1. Polyethylene	6,038	6,076	-38	-0.6
2. Polypropylene	5,991	6,066	-75	-1.2
II. Urea	1,527	1,591	-64	-4.0
III. Methanol	1,781	1,849	-68	-3.7
IV. Ammonium nitrate	1,288	1,619	-331	-20.4

## II. INFORMATION OF SHAREHOLDERS

### (I) Table of the total number of shareholders of ordinary shares and shareholders of preference shares with voting rights restored and shareholding of top ten shareholders

Unit: shares

Total number of shareholders of ordinary shares at the end of the reporting period	86,610	Total number of shareholders of preference shares with voting rights restored at the end of the reporting period (if any)	-			
Particulars of top 10 shareholders (excluding share lent through refinancing)						
Name of shareholders	Nature of shareholders	Number of shares held	Percentage of shareholding (%)	Number of shares held subject to trading moratorium	Shares pledged, marked or frozen	
					Status	Number
China National Coal Group Corporation	State-owned legal person	7,611,207,908	57.41%	-	Nil	0
HKSCC NOMINEES LIMITED	Overseas legal person	3,960,225,799	29.87%	-	Unknown	-
China Securities Finance Corporation Limited (中國證券金融股份有限公司)	State-owned legal person	335,624,355	2.53%	-	Nil	0
China Coal Hong Kong Limited (中煤能源香港有限公司)	Overseas legal person	132,351,000	1.00%	-	Nil	0
China Reform Investment Co., Ltd. (國新投資有限公司)	State-owned legal person	96,371,352	0.73%	-	Nil	0
Central Huijin Asset Management Company Ltd.(中央匯金資產管理有限責任公司)	State-owned legal person	65,745,241	0.50%	-	Nil	0
Hong Kong Securities Clearing Company Limited	Overseas legal person	56,987,646	0.43%	-	Nil	0
Industrial and Commercial Bank of China Limited – Huatai-PineBridge CSI 300 Exchange-traded Open-end Index Securities Investment Fund (中國工商銀行股份有限公司 – 華泰柏瑞滬深300 交易型開放式指數證券投資基金)	Others	34,801,859	0.26%	-	Nil	0
National Social Security Fund 406 Portfolio (全國社保基金四零六組合)	Others	30,707,053	0.23%	-	Nil	0
Monetary Authority of Macao – proprietary fund (澳門金融管理局 – 自有資金)	Overseas legal person	29,000,800	0.22%	-	Nil	0

<b>Particulars of top 10 shareholders not subject to trading moratorium (excluding share lent through refinancing)</b>			
<b>Name of Shareholders</b>	<b>Number of listed shares held not subject to trading moratorium</b>	<b>Type and number of Shares</b>	
		<b>Type</b>	<b>Number</b>
China National Coal Group Corporation	7,611,207,908	Ordinary shares denominated in RMB	7,611,207,908
HKSCC NOMINEES LIMITED	3,960,225,799	Overseas listed foreign shares	3,960,225,799
China Securities Finance Corporation Limited (中國證券金融股份有限公司)	335,624,355	Ordinary shares denominated in RMB	335,624,355
China Coal Hong Kong Limited (中煤能源香港有限公司)	132,351,000	Overseas listed foreign shares	132,351,000
China Reform Investment Co., Ltd. (國新投資有限公司)	96,371,352	Ordinary shares denominated in RMB	96,371,352
Central Huijin Asset Management Company Ltd. (中央匯金資產管理有限責任公司)	65,745,241	Ordinary shares denominated in RMB	65,745,241
Hong Kong Securities Clearing Company Limited	56,987,646	Ordinary shares denominated in RMB	56,987,646
Industrial and Commercial Bank of China Limited – Huatai-PineBridge CSI 300 Exchange-traded Open-end Index Securities Investment Fund (中國工商銀行股份有限公司 – 華泰柏瑞滬深 300 交易型開放式指數證券投資基金)	34,801,859	Ordinary shares denominated in RMB	34,801,859
National Social Security Fund 406 Portfolio (全國社保基金四零六組合)	30,707,053	Ordinary shares denominated in RMB	30,707,053
Monetary Authority of Macao – proprietary fund (澳門金融管理局 – 自有資金)	29,000,800	Ordinary shares denominated in RMB	29,000,800
Explanations on affiliated relationship or parties acting in concert among the above-mentioned shareholders	China Coal Hong Kong Limited (中煤能源香港有限公司) is a wholly-owned subsidiary of China Coal Group (中國中煤), the controlling shareholder of the Company. It is not certain if any of the other shareholders are affiliated or acting in concert with each other.		
Details of top 10 shareholders and top 10 shareholders not subject to trading moratorium participating in securities margin trading and short selling and refinancing (if any)	Top 10 shareholders and top 10 shareholders not subject to trading moratorium did not participate in securities margin trading and short selling business, see the table below for details of participating in refinancing business.		

Notes: The above tables of particulars of top 10 shareholders and particulars of top 10 shareholders not subject to trading moratorium are based on the followings:

1. The above information was prepared in accordance with the register of shareholders of the Company as at 30 September 2024 provided by the China Securities Depository and Clearing Corporation Limited Shanghai Branch and Computershare Hong Kong Investor Services Limited.
2. The A shares held by Hong Kong Securities Clearing Company Limited are held on behalf of various customers.
3. The H shares held by HKSCC Nominees Limited are held on behalf of various customers.

During the reporting period, China Coal Group traded through centralized bidding through the Shanghai Stock Exchange, increased its holding of 2,454,700 A shares of the Company, accounting for approximately 0.0185% of the Company's total share capital. As at 30 September 2024, 7,611,207,908 A shares of the Company are held by China Coal Group, accounting for 57.41% of the Company's total issued share capital; 132,351,000 H shares of the Company are held by China Coal Hong Kong Limited, its wholly-owned subsidiary, aggregating amount of approximately 58.40% of the Company's total issued share capital.

As at 30 September 2024, according to the disclosure of interests published on the website of HKSE, Funde Sino Life Insurance Co., Ltd. had long positions in 2,012,858,147 H shares of the Company.

Particulars of shareholders holding more than 5% of shares, top 10 shareholders and top 10 shareholders not subject to trading moratorium participating in refinancing and share lending

√ Applicable    □ Not applicable

*Unit: shares*

Particulars of shareholders holding more than 5% of shares, top 10 shareholders and top 10 shareholders not subject to trading moratorium participating in refinancing and share lending								
Name of shareholder (Full name)	Shareholding in ordinary account and credit account at the beginning of the period		Shares lent by refinancing at the beginning of the period and not yet repaid		Shareholding in ordinary account and credit account at the end of the period		Shares lent by refinancing at the end of the period and not yet repaid	
	Total	Percentage (%)	Total	Percentage (%)	Total	Percentage (%)	Total	Percentage (%)
Industrial and Commercial Bank of China Limited – Huatai-PineBridge CSI 300 Exchange traded Open-end Index Securities Investment Fund (中國工商銀行股份有限公司 – 華泰柏瑞滬深300 交易型開放式指數證券投資基金)	13,457,459	0.10%	68,600	0.0005%	34,801,859	0.26%	0	0%

The top 10 shareholders and the top 10 shareholders not subject to trading moratorium changed from the previous period due to the lending/repayment of refinancing

Applicable     Not applicable

*Unit: shares*

Changes in the top 10 shareholders and the top 10 shareholders not subject to trading moratorium from the previous period due to the lending/repayment of refinancing					
Name of shareholder (Full name)	Add/Exit during the reporting period	Number of shares lent through refinancing and not yet repaid at the end of the period		Number of shares held by shareholders in ordinary accounts and credit accounts, and shares lent through refinancing and not yet repaid at the end of the period	
		Total	Percentage (%)	Total	Percentage (%)
Industrial and Commercial Bank of China Limited – Huatai-PineBridge CSI 300 Exchange traded Open-end Index Securities Investment Fund (中國工商銀行股份 有限公司 – 華泰柏瑞滬 深300交易型開放式指數 證券投資基金)	Add	0	0%	34,801,859	0.26%

### III. OTHER CAUTIONS

Other important information in relation to operation of the Company during the reporting period that investors are advised to pay attention

Applicable     Not applicable

### IV. QUARTERLY FINANCIAL STATEMENT

#### (I) Type of audit opinion

Applicable     Not applicable

**Adjustments to financial statements as at the beginning of the year upon initial adoption of new accounting standards or interpretation of standards since 2024**

Applicable     Not applicable

By Order of the Board  
**China Coal Energy Company Limited**  
**Wang Shudong**  
*Chairman of the Board, Executive Director*

Beijing, the PRC  
23 October 2024

*As at the date of this announcement, the executive directors of the Company are Wang Shudong, Liao Huajun and Zhao Rongzhe; the non-executive director is Xu Qian; and the independent non-executive directors are Jing Fengru, Zhan Yanjing and James Kong Tin Wong.*

\* *For identification purpose only*