

Hong Kong Exchanges and Clearing Limited and The Stock Exchange of Hong Kong Limited take no responsibility for the contents of this announcement, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this announcement.



CHINA COAL ENERGY COMPANY LIMITED*

中國中煤能源股份有限公司

(A joint stock company incorporated in the People's Republic of China with limited liability)

(Stock Code: 01898)

THIRD QUARTERLY REPORT 2021

Pursuant to the regulations and rules of China Securities Regulatory Commission and Shanghai Stock Exchange (the "SSE"), China Coal Energy Company Limited (the "Company" or "China Coal Energy", together with its subsidiaries, collectively the "Group") is required to publish reports on a quarterly basis.

This announcement is made pursuant to Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) and Rule 13.09 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

This announcement is a summary of the 2021 third quarterly financial report of the Company for the period ended 30 September 2021. The full text of the quarterly financial report will be available on the website of The Stock Exchange of Hong Kong Limited (the "HKSE") on 27 October 2021. The full text of the quarterly financial report is in Chinese only.

IMPORTANT NOTICE

The board of directors and the supervisory committee of the Company together with the directors, supervisors and senior management guarantee that the content of this quarterly report is true, accurate and complete and does not contain any false representations, misleading statements or material omissions, and severally and jointly accept legal responsibility for the content of this report.

The person-in-charge of the Company, the person-in-charge of accounting affairs and the person responsible for the accounting department (head of the accounting department) guarantee that the financial statements set out in this quarterly report are true, accurate and complete.

Whether the third quarterly report of the Company is audited.

Yes No

* For identification purpose only

I. MAJOR FINANCIAL DATA

(I) Major Accounting Data and Financial Indicators

Unit: RMB' 000

Items	The reporting period	Increase/decrease at the reporting period as compared to the corresponding period of the previous year (%)	From the beginning of the year to the end of the reporting period	Increase/decrease from the beginning of the year to the end of the reporting period as compared to the corresponding period of the previous year (%)
Operational revenue	63,018,918	62.2	161,738,815	61.3
Net profit attributable to shareholders of the listed company	4,269,965	131.5	11,855,946	185.4
Net profit attributable to shareholders of the listed company net of nonrecurring gains or losses	4,254,044	135.3	11,793,529	193.2
Net cash flows generated from operating activities	11,802,831	14.8	32,213,327	65.6
Basic earnings per share (RMB per share)	0.32	128.6	0.89	187.1
Diluted earnings per share (RMB per share)	0.32	128.6	0.89	187.1
Weighted average return on net assets (%)	3.88	Increased by 2.02 percentage points	11.12	Increased by 6.90 percentage points
	As at the end of the reporting period		As at the end of the previous year	Increase/decrease at the end of the reporting period as compared to the end of the previous year (%)
Total assets	314,053,483		281,686,377	11.5
Owners' equity attributable to shareholders of the listed company	112,359,247		100,857,446	11.4

Note: "The reporting period" refers to the three-month period from the beginning of the quarter to the end of the quarter, the same below.

(II) Non-recurring gains or losses and relevant amounts*Unit: RMB' 000*

Items	Amount for the reporting period	Amount for the period from the beginning of the year to the end of the reporting period	Explanations
Profit or loss on disposal of non-current assets(Including the portion offset with the provision for impairment of assets)	1,820	-17,748	-
Government grants included in profit or loss for the current period, excluding those closely related to the Company's ordinary business and granted on an ongoing basis in fixed amount or volume according to certain principles under national policies	72,513	151,859	-
Capital occupancy fees from non-financial companies included in profit or loss for the current period	7,587	22,513	-
Gain or loss from external entrusted loans	51	151	-
Other non-operating income and expenses apart from the foregoing	-66,691	-67,110	-
Less: Effect of income tax	6,253	27,465	-
Impact on minority shareholders' interests (after tax)	-6,894	-217	-
Total	15,921	62,417	-

Explanation for determination of the non-recurring profit or loss items defined in the Explanatory Announcement No.1 on Information Disclosure for Companies Offering Their Securities to the Public-Extraordinary Profit and Loss as recurring items is as below:

Applicable Not applicable

(III) Major production and operational data*Unit: RMB*

Items	Unit	January to September 2021	January to September 2020	Percentage change (%)
I. Coal operations				
1. Production volume of commercial coal	10,000 tonnes	8,298	8,267	0.4
Of which: Thermal coal	10,000 tonnes	7,473	7,390	1.1
Coking coal	10,000 tonnes	825	877	-5.9
2. Sales volume of commercial coal	10,000 tonnes	22,189	19,023	16.6
(1) Sales volume of self produced coal	10,000 tonnes	8,247	8,333	-1.0
Of which: external sales volume	10,000 tonnes	7,723	7,923	-2.5
(2) Sales volume of proprietary coal trading	10,000 tonnes	13,263	10,592	25.2
Of which: external sales volume	10,000 tonnes	12,454	10,071	23.7
(3) Agency sales of coal	10,000 tonnes	679	98	592.9
II. Coal chemical operations				
(I) Polyolefin				
1. Polyethylene production volume	10,000 tonnes	55.6	55.3	0.5
Sales volume	10,000 tonnes	54.5	54.6	-0.2
2. Polypropylene production volume	10,000 tonnes	54.3	53.5	1.5
Sales volume	10,000 tonnes	54.6	54.4	0.4
(II) Urea				
1. Production volume	10,000 tonnes	153.2	133.6	14.7
2. Sales volume	10,000 tonnes	170.0	156.6	8.6
(III) Methanol				
1. Production volume	10,000 tonnes	77.5	48.6	59.5
2. Sales volume	10,000 tonnes	77.8	44.8	73.7
Of which: external sales volume	10,000 tonnes	32.8	0.1	32,700.0
III. Coal mining equipment operations				
1. Output value of coal mining equipment	RMB100 million	70.3	67.6	4.0

(IV) Major differences arising from the adoption of different accounting standards in preparing the financial statements

Unit: RMB' 000

	Net profit attributable to shareholders of the listed company		Net assets attributable to shareholders of the listed company	
	January to September 2021	January to September 2020	As at 30 September 2021	As at 31 December 2020
According to PRC GAAP	11,855,946	4,154,393	112,359,247	100,857,446
Items and amounts adjusted according to IAS:				
(a) Adjustment to special funds and deferred tax of the coal industry	1,333,468	166,314	-37,492	-20,446
(b) Adjustment to floating of non-tradable shares under equity split	-	-	-155,259	-155,259
(c) Adjustment to government grants	2,783	2,783	-19,477	-22,260
According to IAS	13,192,197	4,323,490	112,147,019	100,659,481

Explanations on major reconciliations are as follows:

- (a) Adjustment to special reserves and relevant deferred tax: special reserves comprise maintenance fee, safety fund, coal mine transformation fund, mining environmental restoration security deposit and sustainable development reserve. Under PRC GAAP, the Company should make provisions for the special reserves which will be accounted as the production cost and contributions made to the special reserves of equity attributable to shareholders. Non-capital expenditure incurred should be directly offset against special reserves upon incurrence, while capital expenditure incurred should be recorded as fixed assets upon completion, and offset against special reserves based on the cost of the fixed assets with accumulated depreciation fully recognised. After that, no subsequent provisions for depreciation would be made to the relevant fixed assets. Under IFRS, provisions made for the special reserves should be recorded as retained earnings provision while the relevant expenditures are recognised upon incurrence and the special reserves are accordingly transferred to retained earnings.
- (b) Under PRC GAAP, the consideration paid by holders of non-tradable shares to holders of tradable shares in accordance with the reform proposal of equity split should be recorded as long-term equity investments in the balance sheet. Under IFRS, such consideration shall be recorded as interests of minority shareholders directly deducted from the equity attributable to shareholders.
- (c) Under PRC GAAP, subsidies considered as capital investment by the government should be recorded in “capital reserve”. Under IFRS, the subsidies mentioned above shall be treated as government grants.

(V) Changes of the major accounting data and financial indicators and the reasons thereof

√ Applicable □ Not applicable

Items	Percentage change (%)	Major reasons
Operating revenue-reporting period	62.2	Mainly due to the significant increase in market prices of commercial coal and coal chemical products, as well as the expansion in sales scale of coal, resulting in a year on year increase of operating revenue of the Company.
Operating revenue-from beginning of the year to end of the reporting period	61.3	Mainly due to the significant increase in market prices of commercial coal and coal chemical products, as well as the expansion in sales scale of coal, resulting in a year on year increase of operating revenue of the Company.
Net profit attributable to shareholders of the listed company-reporting period	131.5	Mainly due to the combined effects of the significant increase in sales prices of products, efforts of the Company in increase production and sales to ensure energy supply, scientific management of costs, increase in investment gain recognised in investee companies, and increase in the provision of the assets impairment loss, resulting in a significant year on year increase in the Company's net profit attributable to shareholders of the listed company.
Net profit attributable to shareholders of the listed company net of nonrecurring gains or losses-reporting period	135.3	Mainly due to the combined effects of the year on year increase in the Company's net profit attributable to shareholders of the listed company and the year on year decrease in the nonrecurring gains.
Net profit attributable to shareholders of the listed company-from beginning of the year to end of the reporting period	185.4	Mainly due to the combined effects of the significant increase in sales prices of products, the Company's efforts in increasing production and sales to ensure energy supply, scientific management of costs, increase in investment gain recognised in investee companies, and increase in the provision of the assets impairment loss, resulting in a significant year on year increase in the Company's net profit attributable to shareholders of the listed company.

Items	Percentage change (%)	Major reasons
Net profit attributable to shareholders of the listed company net of nonrecurring gains or losses-from beginning of the year to end of the reporting period	193.2	Mainly due to the combined effects of the year on year increase in the Company's net profit attributable to shareholders of the listed company and the year on year decrease in the nonrecurring gains.
Net cash flows generated from operating activities-from beginning of the year to end of the reporting period	65.6	Mainly due to the growth of the operating results of the Company, the further enhanced lean management of funds to reduce the occupation of working capital, as well as the increase of the deposits derived from members other than China Coal Energy by China Coal Finance Co., Ltd. enabling the Company to maintain its strong capability to generate cash from operations.
Basic earnings per share (RMB per share) -reporting period	128.6	Mainly due to the significant year on year increase in the Company's net profit attributable to shareholders of the listed company.
Diluted earnings per share (RMB per share) -reporting period	128.6	The same as above.
Basic earnings per share (RMB per share) – from beginning of the year to end of the reporting period	187.1	Mainly due to the significant year on year increase in the Company's net profit attributable to shareholders of the listed company.
Diluted earnings per share (RMB per share) – from beginning of the year to end of the reporting period	187.1	The same as above.
Weighted average return on net assets (%) – reporting period	Increased by 2.02 percentage points	Mainly due to the significant year on year increase in the Company's net profit attributable to shareholders of the listed company.
Weighted average return on net assets (%) – from beginning of the year to end of the reporting period	Increased by 6.90 percentage points	Mainly due to the significant year on year increase in the Company's net profit attributable to shareholders of the listed company.

VI. Changes in Accounting Items and Analysis of Operational Status of Major Business Sectors

1. Analysis of Accounting Items with Notable Changes

Unit: RMB' 000

Items	As at 30 September 2021/ January to September 2021	As at 31 December 2020/ January to September 2020	Percentage change (%)	Major reasons
Cash at bank and on hand	62,679,337	35,948,886	74.4	Mainly due to the significant increase in operation results of the Company, while the Company maintained a strong capability to generate cash, realizing the significant increase of net cash inflow from production and sales activities in the current period.
Account receivables	9,784,924	7,241,095	35.1	Mainly due to the increase in account receivables during the settlement period as a result of the significant increase in income of the Company.
Financing receivables	6,130,496	3,520,723	74.1	Mainly due to the increase in the settlement of acceptance bills during the sales of products.
Prepayments	2,787,874	1,661,916	67.8	Mainly due to the increase in the Company's prepayment for railway transportation, raw materials and commodity purchases base on for production and sales activities.
Contract liabilities	6,986,599	3,605,775	93.8	Mainly due to the increase in sales payments received in advance.
Tax payables	3,813,337	1,634,611	133.3	Mainly due to the increase in EIT, VAT and resources tax payables as a result of the increase in operating revenue and total earnings of the Company.
Other current liabilities	17,229,245	8,681,739	98.5	Mainly due to the increase in the deposits derived from members other than China Coal Energy by China Coal Finance Co., Ltd..
Operating cost	123,812,872	73,127,779	69.3	Mainly due to the expansion in production and sales scale of coal business of the Company, as well as the increase in the price of coal and the increase in material cost of coal chemical business, resulting in a year on year increase of cost.

Items	As at 30 September 2021/ January to September 2021	As at 31 December 2020/ January to September 2020	Percentage change (%)	Major reasons
Tax expenses and surcharges	4,371,470	2,947,297	48.3	Mainly due to the year on year increase in resources tax levying based on price as a result of the increase in sales price of the self-produced coal.
Research and development expenses	350,447	236,521	48.2	Mainly due to the increase in research and development expenses of the Company for enhancing its technology and innovation.
Investment gain	2,716,475	565,247	380.6	Mainly due to the year on year increase in earnings of investee companies as a result of the significant increase of the market prices of coal and coal chemical products and the corresponding increase in investment gain recognised based on the share proportion of the Company in such companies.
Asset impairment losses	-787,953	-30,457	2,487.1	Mainly due to the Company's decision to close the China Coal Pingshuo Group Company Limited Beiling Coal Mine, which was identified as high security risk, after finish mining the current mine faces, asset impairment losses of RMB780 million calculated according to accounting standards was provided.

2. Revenue, cost and gross profit of coal operations

For the period from January to September 2021, the Company's coal business achieved sales revenue of RMB139.315 billion, representing an increase of RMB59.021 billion or 73.5% as compared with RMB80.294 billion for the same period of last year. Among them: sales revenue of self-produced commercial coal was RMB48.725 billion, representing an increase of RMB11.987 billion or 32.6% as compared with RMB36.738 billion for the same period of last year, mainly because the sales price increased by RMB150/tonne year on year, increasing revenue by RMB12.365 billion, and the sales of self-produced commercial coal decreased by 860,000 tonnes year on year, reducing revenue by RMB378 million. The sales revenue of proprietary coal trading was RMB90.570 billion, representing an increase of RMB47.020 billion or 108.0% as compared with the RMB43.550 billion for the same period of last year, mainly because the sales volume of proprietary coal trading increased by 26.71 million tonnes year on year, increasing revenue by RMB10.981 billion, and the sales price increased by RMB272/tonne year on year, increasing revenue by RMB36.039 billion.

The cost of sales of coal operations was RMB107.380 billion, representing an increase of RMB49.186 billion or 84.5% as compared with RMB58.194 billion for the same period of last year. Among them, the sales cost of self-produced commercial coal increased by RMB2.506 billion year on year, which was attributable to the combined effects of the year on year increase in the unit sales cost of self-produced commercial coal by RMB32.43/tonne, and the year on year decrease in the sales volume of self-produced commercial coal by 860,000 tonnes; the sales cost of proprietary coal trading increased by RMB46.680 billion year on year, which was attributable to the combined effect of the year on year increase in sales volume of proprietary coal trading by 26.71 million tonnes and the year on year increase in the purchase price by RMB272/tonne.

For the period from January to September 2021, the coal production and sales scale of the Company expanded and the market sales price increased significantly, the coal operations achieved a gross profit of RMB31.935 billion, representing an increase of RMB9.835 billion or 44.5%, as compared with RMB22.100 billion for the same period of last year.

3. Coal sales volume and selling prices before netting of inter-segmental sales and the year on year changes

Currency: RMB

Items		January to September 2021		January to September 2020		Year on year			
						Increase/decrease in amount		Increase/decrease in percentage	
		Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (%)	Selling price (%)
I. Self-produced commercial coal	Total	8,247	591	8,333	441	-86	150	-1.0	34.0
	(I) Thermal coal	7,419	532	7,452	398	-33	134	-0.4	33.7
	1. Domestic sale	7,419	532	7,443	398	-24	134	-0.3	33.7
	2. Export	☆	☆	9	508	-9	-	-100.0	-
	(II) Coking coal	828	1,118	881	802	-53	316	-6.0	39.4
	1. Domestic sale	828	1,118	881	802	-53	316	-6.0	39.4
II. Proprietary coal trading	Total	13,263	683	10,592	411	2,671	272	25.2	66.2
	(I) Domestic resale	13,094	684	10,481	410	2,613	274	24.9	66.8
	(II) Self-operated exports*	23	1,224	18	1,020	5	204	27.8	20.0
	(III) Import trading	146	495	93	436	53	59	57.0	13.5
III. Import and export and domestic agency*	Total	679	3	98	6	581	-3	592.9	-50.0
	(I) Import agency	1	6	16	4	-15	-2	-93.8	50.0
	(II) Export agency	27	8	82	7	-55	1	-67.1	14.3
	(III) Domestic agency	651	2	☆	☆	651	-	-	-

* : Briquette export.

* : Selling price is agency service fee.

☆ : N/A for the period.

Note: Sales volume of the commercial coal is before net of inter-segmental sales within the Company which was 13.33 million tonnes for January to September 2021 and 9.31 million tonnes for January to September 2020.

4. The unit cost of sales of self-produced commercial coal and the year on year changes

Unit: RMB/tonne Currency: RMB

Items	January to September 2021	January to September 2020	Year on year	
			Increase/decrease in amount	Increase/decrease in percentage (%)
Materials costs	55.38	51.69	3.69	7.1
Staff costs	33.72	24.29	9.43	38.8
Depreciation and amortization	57.30	52.44	4.86	9.3
Repair expenses	11.98	11.48	0.50	4.4
Outsourcing mining engineering fee	25.80	24.75	1.05	4.2
Other costs	43.87	30.97	12.90	41.7
Unit cost of sales of self-produced commercial coal	228.05	195.62	32.43	16.6

For the period from January to September 2021, the Company's unit cost of sales of self-produced commercial coal was RMB228.05/tonne, representing an increase of RMB32.43/tonne or 16.6% as compared to same period in 2020, which was mainly attributable to the increase in the materials consumed and mining engineering volume as the Company increased its efforts to strip the open-pit mines and excavate the underground mines for enhancing the continuous production; the year on year increase in the material costs and outsourcing mining engineering fee per tonne of coal as diesel procurement prices increased; the year on year increase in staff cost per tonne of coal due to the Company's reasonable adjustments to the salaries of employees based on operating results as well as the 50% reduction by stages in social insurance fees for the support of the control measures of the COVID-19 pandemic by the government in the same period last year no longer in effect in this year; the year on year increase in depreciation and amortization cost per tonne of coal due to increase in original value of relevant fixed assets as the Company's increase in investment of work safety and intelligent system of coal mines and the increase in disposal expense relating to environmental restoration; the year on year increase in costs such as special funds balance cost per tonne of coal due to the combined effects of the year on year decrease in the Company's special funds expenditure and miscellaneous mining expenditure in relation to production.

5. Sales volume and price of major chemical products and the year on year changes

Currency: RMB

Items	January to September 2021		January to September 2020		Year on year			
	Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Increase/decrease in amount		Increase/decrease in percentage	
					Sales volume (10,000 tonnes)	Selling price (RMB/tonne)	Sales volume (%)	Selling price (%)
I Polyolefin	109.1	7,423	109.0	6,169	0.1	1,254	0.1	20.3
1. Polyethylene	54.5	7,274	54.6	5,902	-0.1	1,372	-0.2	23.2
2. Polypropylene	54.6	7,571	54.4	6,437	0.2	1,134	0.4	17.6
II Urea	170.0	2,128	156.6	1,595	13.4	533	8.6	33.4
III Methanol	77.8	1,779	44.8	1,259	33	520	73.7	41.3
Of which: Inter-segment self-consumption [◆]	45.0	1,790	44.7	1,311	0.3	479	0.7	36.5
External sales	32.8	1,766	0.1	1,259	32.7	507	32,700.0	40.3

◆ : The amount of inter-segment self-consumption represents the supply of methanol by China Coal Yuanxing Company and China Coal Shaanxi Company to Mengda Chemical Company and Ordos Energy Chemical Company.

6. Unit cost of sales of major chemical products and year on year changes

Unit: RMB/tonne Currency: RMB

Items	January to September 2021	January to September 2020	Year on year	
			Increase/decrease in amount	Increase/decrease in percentage (%)
I Polyolefin	5,987	4,689	1,298	27.7
1. Polyethylene	5,962	4,769	1,193	25.0
2. Polypropylene	6,011	4,608	1,403	30.4
II Urea	1,314	1,120	194	17.3
III Methanol	1,556	1,395	161	11.5

II. INFORMATION OF SHAREHOLDERS

(I) Table of the total number of shareholders of ordinary shares and shareholders of preference shares with voting rights restored and shareholding of top ten shareholders

Unit: shares

Total number of shareholders of ordinary shares at the end of the Reporting Period	131,612	Total number of shareholders of preference shares with voting rights restored at the end of the Reporting Period (if any)	-			
Particulars of top 10 shareholders						
Name of shareholders	Nature of shareholders	Number of shares held	Percentage of shareholding held (%)	Number of shares subject to trading moratorium held	Shares pledged, marked or frozen	
					Status	Number
China National Coal Group Corporation	State-owned legal person	7,605,207,608	57.36%	-	Nil	0
HKSCC NOMINEES LIMITED	Overseas legal person	3,955,728,709	29.84%	-	Unknown	-
China Securities Finance Corporation Limited (中國證券金融股份有限公司)	State-owned legal person	335,624,355	2.53%	-	Nil	0
China Coal Hong Kong Limited (中煤能源香港有限公司)	State-owned legal person	132,351,000	1.00%	-	Nil	0
Hong Kong Securities Clearing Company Limited	Overseas legal person	88,530,562	0.67%	-	Nil	0
Central Huijin Asset Management Company Ltd. (中央匯金資產管理有限責任公司)	State-owned legal person	83,035,400	0.63%	-	Nil	0
Agricultural Bank of China Limited –Guangfa Balance Selected Mixed Type Securities Investment Fund (中國農業銀行股份有限公司 – 廣發均衡優選混合型證券投資基金)	Others	40,298,042	0.30%	-	Nil	0
Xu Kaidong (徐開東)	Domestic natural person	31,889,100	0.24%	-	Nil	0
Industrial Bank Co., Ltd. - Industrial Bank Co., Ltd. - Guangfa Stable Preferred Six-month Hybrid Securities Investment Fund(興業銀行股份有限公司 – 廣發穩健優選六個月持有期混合型證券投資基金)	Others	25,692,186	0.19%	-	Nil	0
Industrial and Commercial Bank of China Limited – Guangfa Value Advantage Mixed Type Securities Investment Fund (中國工商銀行股份有限公司 – 廣發價值優勢混合型證券投資基金)	Others	21,655,124	0.16%	-	Nil	0

Particulars of top 10 shareholders not subject to trading moratorium			
Name of Shareholder	Number of listed shares held not subject to trading moratorium	Type and number of Shares	
		Type	Number
China National Coal Group Corporation	7,605,207,608	Ordinary shares denominated in RMB	7,605,207,608
HKSCC NOMINEES LIMITED	3,955,728,709	Overseas listed foreign shares	3,955,728,709
China Securities Finance Corporation Limited (中國證券金融股份有限公司)	335,624,355	Ordinary shares denominated in RMB	335,624,355
China Coal Hong Kong Limited (中煤能源香港有限公司)	132,351,000	Overseas listed foreign shares	132,351,000
Hong Kong Securities Clearing Company Limited	88,530,562	Ordinary shares denominated in RMB	88,530,562
Central Huijin Asset Management Ltd. (中央匯金資產管理有限責任公司)	83,035,400	Ordinary shares denominated in RMB	83,035,400
Agricultural Bank of China Limited –Guangfa Balance Selected Mixed Type Securities Investment Fund (中國農業銀行股份有限公司 – 廣發均衡優選混合型證券投資基金)	40,298,042	Ordinary shares denominated in RMB	40,298,042
Xu Kaidong (徐開東)	31,889,100	Ordinary shares denominated in RMB	31,889,100
Industrial Bank Co., Ltd. - Industrial Bank Co., Ltd. - Guangfa Stable Preferred Six-month Hybrid Securities Investment Fund (興業銀行股份有限公司 – 廣發穩健優選六個月持有期混合型證券投資基金)	25,692,186	Ordinary shares denominated in RMB	25,692,186
Industrial and Commercial Bank of China Limited – Guangfa Value Advantage Mixed Type Securities Investment Fund (中國工商銀行股份有限公司 – 廣發價值優勢混合型證券投資基金)	21,655,124	Ordinary shares denominated in RMB	21,655,124
Explanations on affiliated relationship or parties acting in concert among the above-mentioned shareholders	China Coal Hong Kong Limited (中煤能源香港有限公司) is a wholly-owned subsidiary of China Coal Group (中煤集團), the controlling shareholder of the Company. It is not certain if any of the other shareholders are affiliated or acting in concert with each other.		
Details of top ten shareholders and top 10 shareholders not subject to trading moratorium participating in securities margin trading and short selling and refinancing (if any)	Nil		

Notes: The above tables of particulars of top 10 shareholders and particulars of top 10 shareholders not subject to trading moratorium are based on the followings:

- (1) The above information was prepared in accordance with the register of shareholders of the Company as at 30 September 2021 provided by the China Securities Depository and Clearing Corporation Limited Shanghai Branch and Computershare Hong Kong Investor Services Limited.
- (2) The A shares held by Hong Kong Securities Clearing Company Limited are held on behalf of various customers.
- (3) The H shares held by HKSCC Nominees Limited are held on behalf of various customers.

As at 30 September 2021, according to the disclosure of interests published on the website of HKSE, Funde Sino Life Insurance Co., Ltd. had long positions in 2,012,858,147 H shares of the Company.

III. OTHER CAUTIONS

Other important information in relation to operating of the Company during the reporting period that investors are advised to pay attention.

Applicable Not applicable

By Order of the Board
China Coal Energy Company Limited
Wang Shudong
Chairman of the Board, Executive Director

Beijing, the PRC
27 October 2021

As at the date of this announcement, the Company's executive directors are Wang Shudong and Peng Yi; non-executive directors are Zhao Rongzhe and Xu Qian; independent non-executive directors are Zhang Ke, Zhang Chengjie, and Leung Chong Shun.